# Application of Taguchi Method for Surface Roughness and Roundness Error in Drilling of AISI 316 Stainless Steel

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In this study, the effects of deep cryogenic treatment and drilling parameters on surface roughness and roundness error were investigated in drilling of AISI 316 austenitic stainless steel with M35 HSS twist drills. In addition, optimal control factors for the hole quality were determined by using Taguchi technique. Two cutting tools, cutting speeds and feed rates were considered as control factors, and  $L_8(2^3)$  orthogonal array was determined for experimental trials. Multiple regression analysis was employed to derive the predictive equations of the surface roughness and roundness error achieved via experimental design. Minimum surface roughness and roundness error were obtained with treated drills at 14 m/min cutting speed and 0.08 mm/rev feed rate. Confirmation experiments showed that Taguchi method precisely optimized the drilling parameters in drilling of stainless steel.

Keywords: cryogenic treatment, drilling, surface roughness, roundness error, Taguchi method

# **0 INTRODUCTION**

To provide cost effectiveness in manufacturing and especially machining operations, there is a continuous need to reduce tooling costs. The most well-known methods used to reduce tooling costs are various applications of more resistant tool materials, heat treatments, cutting fluids, speed and feed rates, and the development of coated cutting tool [1]. One of these methods is the application of cryogenic treatment used in recent years. Over the past few years, there has been an increasing interest in the application of cryogenic treatment [2]. Cryogenic treatment has been an effective method in improving the tool life of different cutting tools (in particular HSS and cemented carbide) used in machining processes. There are many studies, which have proven significant increases in tool life after deep cryogenic treatment (from -125 to -196°C) in the literature. It was reported that deep cryogenic treatment increased tool life by 90 to 400% [3]. In addition, lower cutting forces and surface roughness were obtained with treated cutting tools. Cryogenic treatment not only improves the tool life, but also provides significant benefits for machining conditions [4].

The surface quality is an important parameter to evaluate the productivity of machine tools as well as machined components. Hence, achieving the desired surface quality is of great importance for the functional behavior of the mechanical parts [5]. A reasonably good surface finish is desired for improving the tribological properties, fatigue strength, corrosion resistance and aesthetic appeal of the product. Excessively better surface finish may involve more cost of manufacturing. The surface roughness and roundness error are affected by several factors including cutting tool geometry, cutting speed, feed rate, the microstructure of the workpiece and the rigidity of the machine tool [6] and [7]. These parameters affecting the surface roughness and drilled hole qualities (roundness, cylindricality and hole diameter) can be optimized in various ways such as Taguchi method and multiple regression models. Therefore, a number of researchers have been focused on an appropriate prediction of surface roughness and roundness error [8] and [9].

The Taguchi method has been widely used in engineering analysis and is a powerful tool to design a high quality system. Moreover, the Taguchi method employs a special design of orthogonal array to investigate the effects of the entire machining parameters through the small number of experiments. Recently, the Taguchi method has been widely employed in several industrial fields, and research works [10] and [11]. By applying the Taguchi technique, the time required for experimental investigations can be significantly reduced, as it is effective in the investigation of the effects of multiple factors on performance as well as to study the influence of individual factors to determine which factor has more influence, which one less [12] and [13]. Yang and Chen [14] used the Taguchi parameter design in order to identify optimum surface roughness performance on an aluminium material with cutting parameters of depth of cut, cutting speed, feed rate and tool diameter. It was found that tool diameter is not a significant cutting factor affecting the surface roughness. Bagci and Ozcelik [15] used the Taguchi method to explore the effects of drilling parameters on the twist drill bit temperature for a design optimization

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of cutting parameters. Their works revealed that the Taguchi method was a powerful approach used in design of experiment. Davim and Reis [16] presented an approach using the Taguchi method and ANOVA to establish a correlation between cutting speed and feed rate with the delamination in a composite laminate. A statistical analysis of hole quality was performed by Furness et al. They found that feed rate and cutting speed have a relatively small effect on the measured hole quality features. With the expectation of hole location error, the hole quality was not predictably or significantly affected by the cutting conditions [17]. Tsao and Hocheng [18] performed the prediction and evaluation of thrust force and surface roughness in drilling of composite material. The approach used Taguchi and the artificial neural network methods. The experimental results show that the feed rate and the drill diameter are the most significant factors affecting the thrust force, while the feed rate and spindle speed contribute the most to the surface roughness. Zhang et al. [19] performed a study of the Taguchi design application to optimize surface quality in a CNC face milling operation. Taguchi design was successful in optimizing milling parameters for surface roughness. Nalbant et al. [20] utilized the Taguchi technique to determine the optimal cutting parameters for surface roughness in turning of AISI 1030 steel with TiN coated inserts. Three cutting parameters such as insert radius, feed rate, and depth of cut, are optimized for minimum surface roughness. Kurt et al. [21] employed the Taguchi method in the optimization of cutting parameters for surface finish and hole diameter accuracy in dry drilling processes. The validity of the Taguchi approach to process optimization was well established.

The objective of this study is to investigate the effects of different heat treatments applied to M35 HSS drills and the drilling parameters on surface roughness and roundness error, and is to determine the optimal drilling parameters using the Taguchi technique and multiple regression analysis in drilling of AISI 316 stainless steel.

# **1 EXPERIMENTAL METHODS**

# **1.1 Drilling Experiments**

In the present study, AISI 316 austenitic stainless steel blocks were used as workpiece material. The dimensions of a work piece were  $100 \times 170 \times 15$  mm. Chemical composition of AISI 316 stainless steel is shown in Table 1. Blind holes were drilled on stainless steel blocks. Before the drilling experiments,

the stainless steel blocks were ground to eliminate the adverse effects of any surface defect on the work piece. Three holes were drilled to compare the surface roughness (Ra) and roundness error (Re) measurements in each machining condition. The average of these measurements was used for evaluation. To provide the initial conditions of each experiment, a new drill was used. The drilling tests were performed using Johnford VMC 850 model three axes CNC vertical machine center equipped with a maximum spindle speed of 6.000 rpm and a 7.5 kW drive motor. They were performed at two different cutting speeds (12 and 14 m/min) and feed rates (0.08 and 0.1 mm/rev) while hole depth was kept constant at 13 mm.

Table 1. Chemical composition of AISI 316 austenitic stainless steel

С	Si	Mn	Р	S	Cr	Ni	Мо	Cu
0.05	0.380	0.971	0.039	0.006	16.58	9.94	2.156	0.321

Distance to drill tip from the tool holder was determined as 30 mm for eliminating of the twisting effect. The surface roughness of the machined holes was measured using a Mitutoyo Surftest SJ-301 portable surface roughness tester, and the average roughness values (Ra) were evaluated. In order to measure the surface roughness, austenitic stainless steel blocks were sliced with wire EDM as parallel to hole axes. The roundness error measurements were performed using a Mitutoyo CRT-A C544 three dimensional coordinate measuring machine (CMM) device. Minimum 10 points were measured to obtain the ideal roundness error at a certain depth of the hole.

#### **1.2 Cryogenic Treatment**

A number of uncoated M35 HSS twist drills (Guhring) with a diameter of 6 mm were cryogenically treated in order to observe the effects of deep cryogenic treatment on surface roughness and roundness error. Chemical composition and properties of M35 HSS twist drills used in the experiments are given in Tables 2 and 3, respectively.

С	Cr	Со	Мо	W	V
0.9	4.2	4.8	5.0	6.5	2.0

The cryogenic treatment for M35 HSS drills was performed by gradually lowering temperature from room temperature to -196 °C at the cooling rate of about 1.5 °C/min and holding at this cryogenic

temperature for 24 h, then raising the temperature back to room temperature at the heating rate of 1.5 °C/ min to avoid thermal cracks.

Table 3	Properties	of M35	drills
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Tool material	M35
Tool reference	DIN 1897
Coating	Uncoated
Diameter	6 mm
Point angle	118°
Helix angle	35°

# 2 EVALUATIONS OF EXPERIMENTAL RESULTS

During cryogenic treatment, the secondary carbides precipitate in the austenite matrix, promote the transformation of the retained austenite to martensite and consequently enhance hardness and wear resistance of the alloy [22]. In addition, it is reported in the literature that the cryogenic treatment relieves the residual stresses [23]. The main reasons affecting hole quality (surface roughness and roundness) in drilling process are the tool wear and cutting forces. With increasing tool wear and cutting forces, product quality is negatively affected. In this study, the hole quality significantly improved due to tool wear and cutting forces decreased after cryogenic treatment.

Surface roughness decreased with increasing cutting speed [24] and [25]. This event can be explained with the decreased built up edge (BUE) formation due to higher temperatures generated in the cutting zone depending on increasing cutting speed [26]. BUE which has unstable structure significantly influences the surface roughness. When BUE is large and unstable, the surface roughness increases and the surface quality of workpiece is deteriorated. In addition, with increasing cutting speed, the surface quality improves due to the decreasing tool-chip contact area [27]. In this study, hole quality decreased with increasing cutting speed due to decreasing BUE and tool-chip contact area. In addition, the hole quality significatnly deteriorated with feed rate due to the increasing cutting forces [28].

### **3 TAGUCHI EXPERIMENTAL DESIGN APPROACH**

The Taguchi method developed by Genuchi Taguchi is a statistical method used to improve the product quality. It is commonly used in improving industrial product quality due to the proven success [29] and [30]. With the Taguchi method, it is possible to significantly reduce the number of experiments. The Taguchi method is not only an experimental design technique, but also a beneficial technique for high-quality system design [31] and [32].

The Taguchi technique includes the following steps:

- determine the control factors,
- determine the levels belonging to each control factor and select the appropriate orthogonal array,
- assign the control factors to the selected orthogonal matrix and conduct the experiments,
- analyze data and determine the optimal levels of control factors,
- perform the confirmation experiments and obtain the confidence interval,
- improve the quality characteristics.

The Taguchi method uses a loss function to determine the quality characteristics. Loss function values are also converted to a signal-to-noise (*S*/*N*) ratio ( $\eta$ ). In general, there are three different quality characteristics (Eqs. (1) to (3)) in *S*/*N* ratio analysis, namely "Nominal is the best", "Larger is the better" and "Smaller is the better". For each level of process parameters, signal-to-noise ratio is calculated based on *S*/*N* analysis.

Nominal is the best;

$$\eta = S / N_T = 10 \log\left(\frac{\overline{y}}{s_y^2}\right),\tag{1}$$

larger is better;

$$\gamma = S / N_L = -10 \log \left( \frac{1}{n} \sum_{i=1}^n \frac{1}{y^2} \right),$$
(2)

Smaller is better;

1

$$\eta = S / N_s = -10 \log \left( \frac{1}{n} \sum_{i=1}^n y_i^2 \right),$$
 (3)

where  $\overline{y}$  is the mean of observed data,  $s_y^2$  is the variance of y, n is the number of observations and y is the observed data [20].

# 3.1 Selection of Control Factors and Orthogonal Array

In this study, cutting tools (CHT – Conventionally Heat Treated, CT – Cryogenically Treated), cutting speed (V) and feed rate (f) were selected as control factors and their levels were determined as shown in Table 4.

The first step of the Taguchi method is to select an appropriate orthogonal array. The most appropriate orthogonal array ( $L_82^3$ ) was selected to determine [33] the optimal drilling parameters and to analyze the effects of these parameters. The drilling parameters were assigned to each column and eight combinations of drilling parameters were formed as shown in Table 5.

Table 4. Cutting parameters and their levels

			.1.
Symbol	Cutting Paramotor	Lev	els
Symbol	outing raiantelei	1	2
А	Cutting Tools (Ct)	CHT	СТ
В	Cutting Speed (V) [m/min]	12	14
C	Feed Rate (f) [mm/rev]	0.08	0.1

Table 5. Orthogonal array of Taguchi L8(23)

Trial no. L8	А	В	С
1	1	1	1
2	1	1	2
3	1	2	1
4	1	2	2
5	2	1	1
6	2	1	2
7	2	2	1
8	2	2	2

In the Taguchi method, orthogonal array can provide an effective experimental performance with a minimum number of experimental trials. The configuration of orthogonal arrays is determined with respect to total degrees of freedom of the targeted function. The degree of freedom (degree of freedom 8-1=7) for L<sub>8</sub> orthogonal array can be more than or at least equal to the determined process parameters. The surface roughness and roundness error values were measured via the experimental design for the each combination of the control factors. The determination of the quality characteristics of the measured control factors was provided by signal-to-noise (*S/N*) ratios.

# 3.2 Analysis of the Signal-to-Noise (S/N) Ratio

The Taguchi method uses S/N ratio to measure the variations of the experimental design. The equation of "smaller is the better" (Eq. (3)) was selected for the calculation of S/N ratio since the lowest values of surface roughness and roundness error were the desired results in terms of good product quality. S/N ratios of surface roughness and roundness error are shown in Table 6. As shown in Table 6, the drilling parameters were discriminated by considering different levels and possible effects according to the selected orthogonal array. As results from the eight experimental trials, the mean value of surface roughness was calculated as 2.08  $\mu$ m and mean S/N ratio for surface roughness value were -6.29 dB. The

**Table 6.** S/N ratios of experimental results for surface roughness and roundness error

Trial no	C	utting parameter lev	el	Measured surface roughness	$S/N$ ( $\eta_i i = 1-8$ )	Measured roundness error	$S/N (\eta_i i = 1 - 8)$
mai no.	A Cutting tool (Ct)	B Cutting speed (V)	C Feed rate (f)	<i>Ra</i> [µm]	[dB]	Re [µm]	[dB]
1	CHT	12	0.08	2.35	-7.42	6.3	-15.99
2	CHT	12	0.1	2.47	-7.85	7.5	-17.50
3	CHT	14	0.08	1.9	-5.57	6.1	-15.71
4	CHT	14	0.1	1.95	-5.80	6.4	-16.12
5	СТ	12	0.08	2.1	-6.44	6.1	-15.71
6	СТ	12	0.1	2.22	-6.92	7.1	-17.03
7	СТ	14	0.08	1.82	-5.20	5.4	-14.65
8	СТ	14	0.1	1.81	-5.15	6	-15.56
T <sub>Ra</sub> (Surface	roughness total n	nean value)= 2.08	um				
T <sub>Ra-S/N</sub> (Surfa	ace roughness S/I	V ratio total mean va	alue) = -6.29 dB				
T <sub>Re</sub> (Roundn	ess error total me	an value) = 6.36 $\mu$ r	n				
TRA-SIN (Rour	ndness error S/N r	atio total mean valu	e) = -16.03				

#### Table 7. Mean S/N ratios [dB] of control factors

Control Factors	Su	ırface Roughness (/	Ra)		Roundness Error <i>(Re</i>	)
	Level 1	Level 2	Max–Min	Level 1	Level 2	Max–Min
А	-6.66	-5.93	0.73	-16.33	-15.74	0.59
В	-7.16	-5.43	1.73	-16.55	-15.51	1.04
С	-6.16	-6.43	0.27	-15.51	-16.55	1.04



Fig. 1. Effects of control factors on surface roughness and roundness error; A: Cutting tool, B: Cutting speed [m/min], C: Feed rate [mm/rev], TRa-S/N: Surface roughness S/N ratio total mean value line, TRe-S/N : Roundness error S/N ratio total mean value line

mean value of roundness error and mean S/N ratio for roundness error value were also calculated as 6.36  $\mu$ m and -16.03 dB respectively. Mean S/N ratios for each level of drilling parameters and level differences of parameters are shown in Table 7.

The effects of the level of each factor on the quality characteristics can be analyzed using S/Nratios. These effects are defined and evaluated according to total mean values of experimental trial results or S/N ratios. The optimum surface roughness and roundness error values can be calculated by means of total mean values of experimental trial results. Another requirement in the calculation of optimum values is to determine the optimum levels. The optimum levels can be determined by evaluating two different levels of the control factors according to the results from the combinations generated by the orthogonal array. The levels of control factors were determined for both surface roughness and roundness error represented in Table 7, and S/N graphics of these levels were used for the evaluation (Fig. 1).

Distribution of the means of S/N ratios for surface roughness and roundness error are shown in Fig. 1. Since "smaller is the better" was selected for surface roughness and roundness error, the lowest values at first level and second level were eliminated to determine the optimal combination of cutting tool, cutting speed and feed rate. Therefore, the optimum combination of surface roughness and roundness error were determined as  $A_2B_2C_1$  ( $A_2 = CT$ ,  $B_2 = 14$  m/min,  $C_1 = 0.08$  mm/rev) and  $A_2B_2C_1$  ( $A_2 = CT$ ,  $B_2 = 14$  m/min,  $C_1 = 0.08$  mm/rev), respectively. The calculated optimal values were proposed for eight trials and their eight possible combinations (8 from  $2^3 = 8$ ).

# 3.3 ANOVA and the Equations of Surface Roughness and Roundness Error

In this study, ANOVA was used to analyze the effects of cutting tools, cutting speed and feed rate on surface roughness and roundness error. In addition, multiple regression analysis was used to derive the mathematical models of the control factors and their interactions. ANOVA is a statistical method used for determining individual interactions of all control factors. In the analysis, the percentage distributions of each control factor were used to measure the corresponding effects on the quality characteristics. The performed experimental plan was evaluated at a confidence level of 95%. ANOVA values belonging to experimental results for the surface roughness and roundness error and S/N ratios are shown in Tables 8 and 9, respectively. The significance of control factors in ANOVA is determined by comparing F value of each control factor and  $F_{0.05}$  value from table.

In consequence of the conducted assessments, the factor C (feed rate) and error value for surface roughness were removed from Table 8. The error term (e) and total error variance ( $e_t$ ) which includes this error were combined by the pooling method. These terms removed from the table were marked with sign "\*". According to pooling results, factor B(cutting speed) had a dominant effect (78.11%) on the surface roughness. This factor was followed by factor A (cutting tool) with a ratio of 13.594%. Combined error ratio (8.296%) was found small according to the other ratios. The terms removed from table were marked with sign "\*" by considering the results of ANOVA performed for S/N ratio in Table 9. When the remaining factors were evaluated according to the pooling results, it was observed that factor *B* was found to be the major factor (80.086%). This factor is followed by factor A with a ratio of 13.573%. The combined error ratio (6.341%) obtained according to S/N ratios is smaller than other ratios. ANOVA analyses of the experimental trials and the S/N ratios of surface roughness were parallel.

As a result of the evaluations, factor A (cutting tool) and error value for roundness error were removed from Table 8. It was found that factors B and C had significant effects (35.352%) on the roundness error, when the remaining factors were evaluated according to the pooling the results. Combined error (pooled error) was calculated as 29.296%. As shown from S/N ratios of the roundness error in Table 9, factor B has the most significant effect (35.489%). Factor C has also as more important effect (35.235%) as factor

*B*. Combined error ratio became 29.276%. ANOVA analyses of the experimental trials and the *S*/*N* ratios of roundness error were parallel.

Multiple regression analysis was employed to derive the predictive equations of the surface roughness and roundness error. The equations of surface roughness and roundness error were generated based on the control factors and their interactions. The predictive equations generated for surface roughness  $(Ra_1)$  and roundness error  $(Re_1)$  are given in Eqs. (4) and (5), respectively.

$$Ra_1 = 4.73 - 0.18 Ct + 0.2075 V + 3.5 f, \qquad (4)$$

$$Re_1 = 8.55 - 425 Ct + 0.3875 V + 38.75 f, \quad (5)$$

where  $R^2$  (coefficient of determination) values for the surface roughness and roundness error were calculated as 0.963 and 0.909 respectively. On the other hand, the

Table 8. Results of ANOVA for surface roughness and roundness error

Variance Source	Sum of squares (SS)	Degree of freedom (DF)	Mean square (MS)	<i>F</i> ratio	Contribution [%]
Surface roughness (Ra)			I ( /		
A	0.064	1	0.064	16.51	13.594
В	0.344	1	0.344	87.76	78.11
С	0.01*	1	-	-	-
Error (e)	0.016*	4	-	-	-
Pooled Error (e <sub>t</sub> )	(0.026)	(5)	(0.005)	-	8.296
Total	0.434	7	-	-	100
Roundness error (Re)					
Α	0.361*	1	-	-	-
В	1.201	1	1.201	17.47	35.352
С	1.201	1	1.201	17.47	35.352
Error (e)	0.275*	4	-	-	-
Pooled Error (e <sub>t</sub> )	(0.636)	(5)	(0.127)	-	29.296
Total	3.038	7	-	-	100

# Table 9. Results of ANOVA for S/N ratio

Variance Source	Sum of squares (SS)	Degree of freedom (DF)	Mean square (MS)	F ratio	Contribution [%]
Surface roughness (Ra)					
A	1.069	1	1.069	23.14	13.573
В	5.979	1	5.979	129.42	80.086
С	0.149*	1	-	-	-
Error (e)	0.185*	4	-	-	-
Pooled error (e <sub>t</sub> )	(0.334)	(5)	(0.067)	-	6.341
Total	7.382	7			100
Roundness error (Re)					
A	0.705*	1	-	-	-
В	2.183	1	2.183	19.56	35.489
С	2.169	1	2.169	19.44	35.235
Error (e)	0.446*	4	-	-	-
Pooled error (e <sub>t</sub> )	(1.151)	(5)	(0.23)	-	29.276
Total	5.503	7	-	-	100

predictive equations which contain the control factors and their interactions for surface roughness  $(Ra_2)$  and roundness error  $(Re_2)$  are shown in Eqs. (6) and (7), respectively.

$$Ra_{2} = 2.968 - 0.955 Ct + 0.0875 V + + 38.25 f + 0.07 CtV + 1.5 Ctf - 2.5 Vf, \qquad (6)$$
$$R_{2} = 12.562 - 0.075 Ct + 1.263 V +$$

$$+ 246.25 f - 0.125 CtV + 2.5 Ctf - 16.25 Vf. (7)$$

In interactive model,  $R^2$  values of the equations were calculated as 0.998 and 0.990, respectively. The coefficient of determination of surface roughness became 99.8% in interactive factor model, while it was calculated as 96% in factor model. Similarly, the coefficient of determination of roundness error became 99% in interactive factor model, while it was calculated as 91% in factor model. Thus, the interactive regression models for both surface roughness and roundness error ( $Ra_2$  and  $Re_2$ ) are suggested.

#### **3.4 Confirmation Experiments**

The final step of the Taguchi method is the confirmation experiments conducted for examining the quality characteristics. The model used in the confirmation tests is defined with the total effect generated by the control factors. The factors are equals to the sum of each individual effect. The optimum levels are evaluated by considering the pooled error losses. The optimal surface roughness and roundness error were obtained by taking into account the influential factors within the evaluated optimum surface roughness (Eq. (8)) was calculated by considering individual effects of the factors  $A_2$ ,  $B_2$  and  $C_1$ , and their levels.

$$Ra_P = T_{Ra} + (A_2 - T_{Ra}) + (B_2 - T_{Ra}), \qquad (8)$$

where  $T_{Ra}$  is the surface roughness total mean value.  $A_2$  and  $B_2$  are the means (1.98 µm, 1.87 µm) of experimental trials at the second level of both factors. According to these values, the optimal surface roughness ( $Ra_P$ ) was computed as 1.77 µm.

The factors  $B_2$  and  $C_1$  and their levels were used in the calculation of the predicted optimal roundness error (Eq. (9)) by considering individual effects of the factors  $A_2$ ,  $B_2$  and  $C_1$  and their levels.

$$Re_{P} = T_{Re} + (B_{2} - T_{Re}) + (C_{1} - T_{Re}), \qquad (9)$$

where  $T_{Re}$  is the roundness error total mean value.  $B_2$  is the mean (5.98 µm) of experimental trials at the second level of factor  $B_2$ , and  $C_1$  is the mean (5.98 µm) of experimental trials at the first level of factor  $C_1$ . According to these values, the optimal roundness error ( $Re_P$ ) was calculated as 5.60 µm. The confidence interval was employed to verify the quality characteristics of the confirmation experiments. The confidence interval for the predicted optimal values is calculated as follows [34];

$$CI = \sqrt{F_{\alpha:1,V_2} x V_e x \left(\frac{1}{n_{eff}} + \frac{1}{r}\right)},$$
 (10)

where,  $F_{\alpha:1}, v_2$ , *F*-ratio of significant level  $\alpha$ ,  $\alpha$ : significant level, 1- $\alpha$ : confidence level,  $V_2$ : degreeof-freedom of pooled error variance,  $V_e$ : pooled error variance, *r*: number of repeated trials,  $n_{eff}$ : number of effective measured results defined as;

$$n_{eff} = \frac{total \ experimental \ trials}{1 + \begin{pmatrix} total \ deg \ ree \ of \ freedom \\ of \ factors \ used \ for \ prediction \end{pmatrix}}. (11)$$

In this study, three confirmation experiments (r = 3) were carried out to evaluate the performance of experimental trials for surface roughness under optimal conditions (A = CT, B = 14 m/min and C = 0.08 mm/rev). The value of  $F_{\alpha:1}v_2 = 6.61$  which has a 95% confidence level was found with respect to  $\alpha = 0.05$  and  $V_2 = 5$  by considering the lookup table. The confidence interval was calculated as 0.209 µm using Eqs. (10) and (11). With a 95% confidence level, the confirmation test results for the surface roughness was expected to be in the confidence interval of 1.77±0.153 µm or 1.617 to 1.923 µm. The measurements in three confirmation tests conducted with regard to the optimal levels  $(A_2B_2C_1)$  were 1.82, 1.71 and 1.83 µm. As depicted in Table 10, the mean of the measurements was 1.79 µm. This mean falls within the determined confidence interval (1.617<1.79<1.923). Therefore, the system optimization for surface roughness was achieved using the Taguchi method at a significance level of 0.05.

On the other hand, three confirmation experiments for roundness error were performed under optimal conditions (A = CT, B = 14 m/min and C = 0.08 mm/ rev). The value of  $F_{\alpha:1,V2} = 6.61$  which has a 95% confidence level for roundness error, was found with respect to  $\alpha = 0.05$  and  $V_2 = 5$ , by considering the look-up table. The confidence interval was calculated as 0.106 µm by use of Eqs. (10) and (11). With a 95% confidence level, the result values of the confirmation

	Level	Surface F	loughness	Round	Iness Error
		<i>Ra</i> [µm]	S/N [dB]	<i>Re</i> [µm]	S/N [dB]
Initial combination	$A_1B_1C_2$	2.47	-7.85	7.5	-17.50
Optimal combination (Experiment)	$A_2B_2C_1$	1.79	-5.05	5.40	-14.65
Optimal combination (Prediction)	$A_2B_2C_1$	1.77	-4.95	5.60	-14.96

**Table 10.** Comparisons of surface roughness and roundness error

tests conducted for the roundness error were expected to be in the confidence interval of  $5.60\pm0.771 \ \mu\text{m}$ or 4.829 to  $6.371 \ \mu\text{m}$ . The measurements in three confirmation tests conducted with regard to the optimal levels  $(A_2B_2C_1)$  were 5.40, 5.44 and  $5.38 \ \mu\text{m}$ . As shown in Table 10, the mean of the measurements was calculated as  $5.40 \ \mu\text{m}$ . This mean falls within the determined confidence interval (4.829 < 5.40 < 6.371). Hence, the system optimization for roundness error was successfully carried out by using the Taguchi method at a significance level of 0.05.

The comparisons of the surface roughness and roundness error values according to optimal test and the predicted combination  $A_2B_2C_1$ , and the combination  $A_1B_1C_2$  selected from eight initial trials are given in Table 10. According to these comparisons, the surface roughness and roundness error values were reduced from 2.47 µm to 1.79 µm and from 7.5 µm to 5.40 µm, respectively. The improved accuracy efficiency because of the optimal combination was increased up to 27.53% ((2.47–1.79)/2.47) for surface roughness and to 28% ((7.5-5.40)/7.5) for roundness error. To compare the quality characteristics of the initial and optimal conditions, *S/N* ratios in Table 10 were used. The quality losses are given in Table 11.

Table 11. Comparisons of experimental trials

	Initial	Optimal combination	
	combination	Prediction	Confirmation
Level	$A_1B_1C_2$	$A_2B_2C_1$	$A_2B_2C_1$
Ra (µ)	2.47	$1.77 \pm 0.153$	1.79
Quality loss [%]	-	-	52.36
Level	$A_1B_1C_2$	$A_2B_2C_1$	$A_2B_2C_1$
Re (μ)	7.5	$5.60 \pm 0.771$	5.40
Quality loss [%]	-	-	51.76%

In practice, the quality losses between initial and optimal combinations for both surface roughness and roundness error are calculated as follows [34]:

$$\frac{L_{opt}(y)}{L_{ini}(y)} \approx \left(\frac{1}{2}\right)^{\Delta_{\eta}/3},$$
(12)

where,  $L_{opt}(y)$  and  $L_{ini}(y)$  are optimal and initial combinations respectively.  $\Delta_{\eta}$  is the difference

between S/N ratios of optimal and initial combinations. The differences of S/N ratios that can be used to evaluate the quality loss (Eq. (12)) of the optimal combination for surface roughness and roundness error were found as 2.80 ( $\Delta_{\eta} = 2.80 \ (= 7.85 - 5.05)$ ) and 2.85 ( $\Delta_n = 2.85$  (= 17.50 - 14.65)), respectively. The quality loss of surface roughness was calculated as 0.5236 using Eq. (11). Thereby, the quality loss at the optimal combination became only 52.36% of the initial combination. When these results were evaluated, the quality losses for the surface roughness were reduced to 47.64% by using the Taguchi method. The quality loss for roundness error was calculated as 0.5176. Thereby, the quality loss in the optimal combination was only 51.76% of the initial combination. The quality losses for the roundness error were reduced to 48.24% by using these results. Based on the confirmation experiment results, the surface roughness and roundness error decreased 1.38 times and 1.39 times, respectively.

## **4 CONCLUSIONS**

In this study, the optimization of drilling parameters were carried out by the Taguchi method to obtain optimum surface roughness and roundness error values in the drilling of AISI 316 austenitic stainless steel with untreated and treated drills. In the performed experimental trials using Taguchi orthogonal arrays, it was found that the cutting speed (78.11%) had a significant effect on the surface roughness and that the cutting speed (35.352%) and feed rate (35.352%) had significant effects on the roundness error. The quality losses (52.36%) of the surface roughness obtained at optimal combinations (Ct = CT, V = 14 m/min, f = 0.08 mm/rev) were nearly equal to half of the ones obtained from experimental combinations. Similarly, the quality losses of the roundness error obtained at optimal combinations became 51.76%. Optimal surface roughness and roundness error values were calculated as 1.77 µm and 5.60 µm using optimal parameters, respectively.

The Taguchi method was successfully applied to determine the optimal combinations of drilling parameters and to minimize machining costs and time in drilling of AISI 316 stainless steel. Further research works could consider more factors such as drilling depth, lubricant, tip and helix angle and cryogenic treatments at different soaking time (i.e. 4, 8, 12, 36, 48 h, and so on) and at different cryogenic temperatures (-70, -125, -150 °C and so on) affecting surface roughness and roundness error.

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