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Optimization of Oil Pads on a Hydrostatic Turntable for Supporting Energy Conservation Based on Particle Swarm Optimization

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The performance of hydrostatic turntables is strongly influenced by the geometry of oil pads. The properly designed structure of oil pads can reduce the power consumption of hydrostatic turntables and improves their service performance. A structural optimization of oil pads on a hydrostatic turntable based on particle swarm optimization is presented to improve the carrying performance and decrease energy costs. Using the finite difference method, the Reynolds equation is resolved to calculate the pressure distribution and flow rate in oil pads. The power cost of oil supply is determined according to the turntable working condition, and minimal energy consumption is set as the optimal solution. The geometrical parameters of the oil pad are chosen as individual elements that will converge to an optimal result by using PSO. **Keywords: hydrostatic turntable, Reynolds equation, PSO, energy conservation**

Highlights

- Performance characteristics, such as pressure distribution and oil flow rate, are determined by the Reynolds equation and finite difference method (FDM).
- The relationship between oil pocket scale and supporting power consumption is determined numerically. Reliability of the
 algorithm is verified by conducting experiments on a miniature testing table.
- PSO is carried out to solve the optimal pocket size for minim pump power. An efficient and practical optimization method for hydrostatic turntable design is presented.

0 INTRODUCTION

The hydrostatic turntable is a key part of heavy-duty computerized numerical control (CNC) machine tools, which plays a supporting role and provides high precision rotary motion. The external supply system continuously pumps pressurized oil into oil pads. The cost of running a hydrostatic turntable can be reduced by reducing the power consumption of oil pads. The analysis and optimization of hydrostatic bearing are complex, requiring many numerical computations. The geometrical size of oil pad is accurate to millimetres, considering processing feasibility. Therefore, an optimization algorithm with high efficiency and acceptable accuracy is needed to optimize hydrostatic bearing; particle swarm optimization (PSO) is an efficient computational method, suitable for efficiently reducing computational load.

Wang et al. [1] presented a fast-convergent numerical method to solve the modified Reynolds equation. Singh et al. [2] applied different types of modified Reynolds equations in analysing different shapes of oil pads. Liu et al. [3] provided the general type of the Reynolds equation of circular and rectangular oil pads. The variation of viscosity caused by temperature changes was ignored in the study of El Khlifi et al. [4] based on the low moving speed working condition. Mankovits et al. [5] conducted his optimal research by using a support vector regression method. Gustafsson et al. [6] introduced changing viscosity in the Reynolds equation. Yang et al. [7] introduced non-Newtonian characteristics into the Reynolds equation. Masjedi and Khonsari [8] solved the Reynolds equation by the efficient numerical method of successive over-relaxation (SOR). Getachew and Prawal [9] analysed the lubrication performance of a slider by using finite different method (FDM). Cai et al. [10] optimized the oil pad structure to improve the stiffness of the hydrostatic guideway. Weißbacher et al. [11] reformed the film clearance to improve the support performance of hydrostatic journal bearing. Cheng et al. [12] applied PSO to diverse types of hydrostatic and aerostatic bearing. Chang and Jeng [13] designed a double-pad aerostatic bearing using PSO. Chan [14] conducted an optimization research on hybrid journal bearings by PSO. Soltani-Mohammadi et al. [15] proved that the PSO is more efficient in computing in comparison to a simulated annealing method. Zheng and Liao [16] used social emotional PSO (SEPSO). Srisha Rao and Jagadeesh [17] improved the convergence of an optimization algorithm by using vector evaluated PSO (VEPSO). Flocker and Bravo [18] discussed the global convergence of PSO in their article. Tang et al. [19]

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applied PSO in the energy conservation of a workshop. Luo et al. **[20]** proposed a dynamic optimal solution based on Species-based PSO (SPSO). Liu et al. **[21]** made a comparison between different optimization methods in a review. All the above studies show that PSO is a broadly applicable optimization method for many circumstances.

A practical optimization method based on PSO for a hydrostatic turntable is proposed in this work to improve its service performance. The Reynolds equation of the hydrostatic turntable is modified according to the working condition. Performance characteristics, such as pressure distribution and oil flow rate, are determined by FDM. The geometry size of oil pads is selected as individuals in the optimization progress. With minimum film thickness set to a constant safety level, the power consumption is chosen as the fitness of each individual. Finally, through the PSO algorithm, the optimal solution is determined.

1 HYDROSTATIC TURNTABLE MODELING AND GENERAL EQUATIONS

1.1 Model of Hydrostatic Turntable

A hydrostatic turntable is an essential part of heavy machine tools, which is supported by several oil pads on the base. A model of a hydrostatic turntable is shown in Fig. 1.



Fig. 1. Model of hydrostatic turntable on heavy machine tools

The supporting oil pad of hydrostatic turntable is a circular step pad with concentric oil pockets, and there are N supporting pads on the rotary table. A model of a circular oil pad is shown in Fig. 2, where R_0 is the

radius of oil pad, R_1 is the radius of oil pocket, H is the oil film thickness of the supporting pad.



Fig. 2. The structure of the supporting oil pad

In [10], the load-carrying capacity of the bearing and oil film thickness are considered to be a -3 power relationship. Without maximum pump pressure limit, the bearing ability is infinitely large when film thickness approaches zero. In real working conditions, this assumption leads to inaccurate calculations, especially when the oil film is thin. Due to factors such as surface roughness, geometry error, and tilting, a thinner oil film means more chance for film collapse, which seriously affects the service performance of machine tools. Therefore, the oil film of a hydrostatic turntable needs to be regulated to a safe level to avoid damage. The working condition of the hydrostatic turntable can be written as:

$$\begin{cases} H \ge H_0 \\ \sum_{i=1}^N W_i = mg' \end{cases}$$
(1)

where H_0 is the pre-set film thickness, W_i is the loadcarrying capacity of *i*th oil pad, N is the total number of oil pads, m is the carrying load, and g is the acceleration of gravity.

1.2 The Reynolds Equation and FDM Solution

The Reynolds equation is widely used in the analysis of hydrostatic systems to describe the pressure distribution of viscous oil film in oil pads. The following dimensionless parameters are defined before solving the Reynolds equation:

$$\overline{p} = \frac{p}{P_0}, \quad \overline{p_0} = 1, \quad \overline{r} = \frac{r}{R_0}, \quad \overline{R}_0 = 1, \quad \overline{z} = \frac{z}{H},$$

$$\bar{h} = \frac{h}{H}, \quad \bar{H} = 1, \quad \bar{U}_{r} = \frac{U_{r}}{\frac{H_{0}^{2}P_{0}}{R_{0}\eta}}, \quad \bar{U}_{\theta} = \frac{U_{\theta}}{\frac{H_{0}^{2}P_{0}}{R_{0}\eta}},$$
$$\bar{W} = \frac{W}{R_{0}^{2}P_{0}}, \quad \bar{q} = \frac{Q}{\frac{H_{0}^{3}P_{0}}{\eta}}, \quad (2)$$

where p is the pressure, P_0 is the pressure in the oil pocket, r is the radial coordinate, h is the film thickness, U_r is the radial velocity, U_{θ} is the circumferential velocity, W is the load-carrying capacity, Q is the volumetric flow rate of each oil pad, and η is the viscosity. Furthermore, \overline{p} is the dimensionless pressure, \overline{h} is the dimensionless film thickness, \overline{U}_r is the dimensionless radial velocity, \overline{U}_{θ} is the dimensionless load-carrying capacity, and \overline{q} is the dimensionless flow rate.

The oil pocket is usually designed to be of 5 mm to 10 mm depth, thicker than the boundary layer of the oil flow. Therefore, no pressure loss exists when the oil flows through the oil pocket. The film thickness at the oil-sealing edge is usually designed to be from tens to hundreds of microns, smaller than the boundary layer of oil flow, so the oil-sealing edge keeps the pressure unchanged in the oil pocket. In a polar coordinate system, the Reynolds equation describing the pressure distribution of the oil film in an oil-sealing edge is written as Eq. (3) [3]:

$$\frac{\partial}{\partial \overline{r}} \left(\overline{r} \cdot \overline{h}^3 \cdot \frac{\partial \overline{p}}{\partial \overline{r}} \right) + \frac{\partial}{\partial \overline{g}} \left(\overline{h}^3 \cdot \frac{\partial \overline{p}}{\overline{r} \partial \overline{g}} \right) = \\ = 6 \frac{\partial}{\partial \overline{r}} \left(\overline{r} \overline{U_r} \overline{h} \right) + 6 \frac{\partial}{\partial \overline{g}} \left(\overline{U_g} \overline{h} \right).$$
(3)

The hydrostatic turntable remains steady in this research, so the velocity can be ignored. The Reynolds equation is modified as Eq. (4):

$$\frac{\partial}{\partial \overline{r}} \left(\overline{r} \cdot \overline{h}^3 \cdot \frac{\partial \overline{p}}{\partial \overline{r}} \right) + \frac{\partial}{\partial \overline{\mathcal{P}}} \left(\overline{h}^3 \cdot \frac{\partial \overline{p}}{\overline{r} \partial \overline{\mathcal{P}}} \right) = 0.$$
(4)

The discretization of the Reynolds partial differential equation is performed by adopting the FDM method; dimensionless pressure distribution can be determined via the Gauss-Seidel iteration. Therefore, the discrete Reynolds equation is written as [9]:

$$\begin{bmatrix} A1_{i,j}A2_{i,j}A3_{i,j}A4_{i,j}A5_{i,j} \end{bmatrix} \begin{vmatrix} \overline{p}_{i+1,j} \\ \overline{p}_{i-1,j} \\ \overline{p}_{i,j} \\ \overline{p}_{i,j-1} \\ \overline{p}_{i,j+1} \end{vmatrix} = 0, \quad (5)$$

$$\begin{cases}
A1_{i,j} = \frac{\overline{r_i}\overline{h}_{i,j}^3}{\overline{r}_{step}^2} \\
A2_{i,j} = \frac{\overline{r_{i-1}}\overline{h}_{i-1,j}^3}{\overline{r}_{step}^2} \\
A3_{i,j} = -\left(\frac{\overline{r_i}\overline{h}_{i,j}^3}{\overline{r}_{step}^2} + \frac{\overline{r_{i-1}}\overline{h}_{i-1,j}^3}{\overline{r}_{step}^2} + \frac{\overline{h}_{i,j-1}^3}{\overline{r_i}\overline{\theta}_{step}^2} + \frac{\overline{h}_{i,j}^3}{\overline{r_i}\overline{\theta}_{step}^2}\right), \quad (6) \\
A4_{i,j} = \frac{\overline{h}_{i,j-1}^3}{\overline{r_i}\overline{\theta}_{step}^2} \\
A5_{i,j} = \frac{\overline{h}_{i,j}^3}{\overline{r_i}\overline{\theta}_{step}^2}
\end{cases}$$

where \overline{r}_{step} is the step length for the *r* coordinate, $\overline{\theta}_{step}$ is the step length for the θ coordinate, and *i* and *j* are numerical counts of the elements on *r* and θ coordinate, respectively. The boundary condition of dimensionless pressure $p_{i,j}$ is chosen as 1 in the oil pocket and 0 at the external edge of the oil pad:

$$\overline{p}_{i,j} = \begin{cases} 1, & \overline{r}_i < \overline{R}_1 \\ 0, & \overline{r}_i = 1 \end{cases}.$$
(7)

The calculation process of FDM will be terminated when the results match the error tolerance tol_{FDM} . The dimensionless load-carrying capacity of the oil pad can be calculated by integrating the hydrostatic dimensionless pressure distribution, while the dimensionless flow rate is determined by integrating the outer flow speed on the external surface. The load-carrying performance is written as Eq. (8):

$$\begin{cases} \overline{w} = \overline{p} \, \overline{r} \, d\overline{r} \, d\overline{\theta} \\ \overline{q} = \int \frac{\overline{z}^2 - \overline{z}}{2\eta} \, \frac{\partial \overline{p}}{\partial \overline{r}} \, d\overline{z}, \quad \text{where } \overline{r_i} = 1 \end{cases}$$
(8)

 \overline{w} and \overline{q} are important parameters to evaluate the supporting performance of hydrostatic bearings, which varies with the changing of \overline{R}_1 value. For the safe operation of the machine tool, a minimum film thickness H_0 is chosen to avoid damaging the machine tools. The safety film thickness is set as $H \ge H_0$. Assuming the carrying weight is constant, the pump power P_s is [10]:

$$\begin{cases}
P_0 = \frac{mg}{N\overline{w}\pi R_0^2} \\
Q = \overline{q} \frac{H_0^3 P_0}{\eta} , \\
P_s = N \cdot P_0 \cdot Q
\end{cases}$$
(9)

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where P_s is the supporting power consumption, and it only describes the pump power caused in oil pads. To control variables in this optimization, motor efficiency and pressure losses are ignored.

1.3 Particle Swarm Optimization

PSO is an emerging optimization method with high computational and implementation efficiency. The analysis of the hydrostatic turntable using the FDM technique requires performing many numerical calculations that make the process very timeconsuming. Different values of R_1 result in different and \overline{q} , i.e. different supporting power \overline{W} consumption. Aiming at the pump power optimization, many calculation loops are required to calculate the optimal value of R_1 , so PSO is a suitable method to efficiently reduce the calculation time and simplify the optimization process. In this paper, PSO is basically performed by evaluating the fitness of each individual in the domain to search for a fitting range until it matches error tolerance. An inertia weight ω is introduced to improve local search ability [16]:

$$\begin{cases} v_n^{(k+1)} = \omega v_n^{(k)} + c_1 \left(Pbest_n - x_n^{(k)} \right) + c_2 \left(Gbest_n - x_n^{(k)} \right) \\ x_n^{(k+1)} = x_n^{(k)} + v_n^{(k+1)} \end{cases}, (10)$$

where x_n and v_n are the position and velocity of the n^{th} individual, respectively, $Pbest_n$ is the position of the privative best fitness of the n^{th} individual, $Gbest_n$ is

the position of the global best fitness of all individuals, and *k* is the loop iteration count.

Every individual represents a value of R_1 , and makes $R_1=x_n \cdot R_0$. FDM can solve and case by case. The fitness of each individual is evaluated by the supporting power consumption. The minimization of pump power is chosen as the optimal target. Computing will be terminated when every single individual as while as the whole swarm matches the error tolerance. The design tolerance of oil pad is generally at the millimetre scale, so error tolerance of PSO is set as 10^{-2} [13]. The terminating condition is:

$$\begin{cases} |x_{n}^{(k)} - x_{n}^{(k-1)}| \le tol_{PSO} \\ \max(x_{n}^{(k)}) - \min(x_{n}^{(k)}) \le tol_{PSO} \end{cases}$$
(11)

The algorithm presented in this work is mainly composed of three parts: FDM solution of the Reynolds equation, evaluation of supporting power and PSO for minimal power consumption; Fig. 3 illustrates the detailed calculation process [12].

2 NUMERICAL RESULTS AND DISCUSSION

2.1 Influence of Dimensionless Pocket Scale on the Load-Carrying Performance of Circular Oil Pads

The optimal pocket size can be determined by the algorithm shown in Fig. 3 for minimum supporting power consumption. Table 1 lists the values of the



Fig. 3. The PSO implementation framework

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main parameters involved in the computational process.

Parameter	Value	Parameter	Value
R ₀	0.085 m	п	5
m	24800 kg	ω	0.2
N	20	c_1	0.4
η	0.06 Pa·s	<i>c</i> ₂	0.9
Tol _{FDM}	1×10-7	Tol _{PSO}	6

Table 1. Values/Ranges of major parameters

The supporting performance of an oil pad can be described by dimensionless load-carrying capacity and dimensionless flow rate. The dimensionless loadcarrying capacity corresponds to the force output of oil pads, while the dimensionless flow rate corresponds to the oil flow required to maintain the film thickness. Changes in oil pocket size will influence both the dimensionless carrying capacity and dimensionless flow rate. The pressure distribution for different oil pocket sizes is shown in Fig. 4. As shown in Fig. 4, the oil pocket is filled with pressurized oil which provides most of the loadcarrying capacity of the oil pad. Therefore, a larger oil pocket means greater load-carrying force. With dimensionless oil pocket size increases, the slope at the oil film sealing edge grows leading to the increase of the dimensionless flow rate. Fig. 5 shows the dimensionless pocket size effect on the supporting performance of the oil pad.

From Fig. 5, both the dimensionless carrying capacity \overline{w} , and flow rate \overline{q} increase with the increase of pocket size \overline{R}_1 . Flow rate \overline{q} grows more significantly than \overline{w} . A larger dimension of the pocket means greater supply flow rate and lower pump pressure. The supporting power consumption is highly related to the supply flow rate and pump pressure. The difference between the influence of the pocket dimension on the supply flow rate and the pump pressure shows that there is room for improvement. When \overline{R}_1 varies within the range (0.1, 0.9), the influence of the pocket on supporting power is shown



Fig. 4. Dimensionless pressure distribution of circular oil pad; a) \overline{R}_1 =0.4, and b) \overline{R}_1 =0.8





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in Fig. 6, and a minimum value of the curve of supporting power P_s clearly exists:



Fig. 6. Supporting power consumption varying \overline{R}_{1}

However, the exhaustive method can only show the trend vaguely and thus is not suitable for solving optimal result. The exact place where P_s achieves its minimum needs to be determined with the help of PSO.

2.2 Experimental Verification on a Miniature Oil Pad

Due to cost and safety constraints, it is difficult to carry out experiments on a hydrostatic turntable. To verify the theoretical calculations, an experiment table for a single oil pad is designed. The carrying performance of a replaceable oil pad can be measured under different bearing situations. Oil is supplied by a pump, and the flow rate is adjusted by a flow valve. Film thickness can be changed by a lead screw with a very large reduction ratio. The film thickness varies 2 μ m for every turn of the screw hand wheel. Three force sensors, two dial indicators, one flowmeter, one manometer, and one thermometer are deployed to measure related parameters. Data from each sensor is stored by a collector by real time. The experimental table is shown in Fig. 7.



Fig. 7. Experimental table to test single oil pad

To verify the reliability of the theoretical algorithm, four different oil pads are tested. Their structural parameters are shown in Table 2.

Table 2.	Structural	parameters	of testing	oil	pads
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	R_0	R_1	\overline{R}_1
Pad 1	0.033 m	0.028 m	0.848485
Pad 2	0.033 m	0.023 m	0.69697
Pad 3	0.033 m	0.018 m	0.545455
Pad 4	0.033 m	0.013 m	0.393939

Several tests are conducted to determine the dimensionless carrying ability and flow rate of oil pads, based on Eq. (2). Compared with the theoretical result, the experimental results of dimensionless carrying ability are smaller, and the maximum error is about 18 %. Deviation also appears between the







Fig. 9. Power estimate of single oil pad testing

theoretical and experimental dimensionless flow rates. The maximum error is about 25 %. Although there are some errors between the calculation and tests, the varying trend of dimensionless carrying force and flow rate with \overline{R}_1 is the same as predicted.

According to the result shown in Fig. 6, there is an optimal value of \overline{R}_1 to achieve minimum supporting power P_s . The supporting power is calculated based on the experimental result shown in Fig. 8.

As shown in Fig. 9, both the theoretical and experimental result shows a minimum P_s exists. The following optimization is carried out by the real hydrostatic turntable parameters shown in Table 1.

2.3 Optimal Solution of Oil Pocket Scale Based on Particle Swarm Optimization

The initial position and speed of particles x_n are chosen randomly in the domain. Assuming $R_1 = x_n \cdot R_0$, the oil pocket scale is related with particle positions. The film thickness is set to H_0 , and the supporting performance is solved by FDM to determine the pump power consumption. PSO can determine the position of minimum power consumption by evaluating the fitness of each particle. Fig. 10 shows the iteration process of PSO when $H_0 = 5 \times 10^{-5}$ m, where *OS* is optimal solution determined by PSO and *I*1, *I*2, ...,



Fig. 10. Iterative process of PSO ($H_0 = 5 \times 10^{-5}$ m); a) position of each individual, b) supporting power of each individual





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Fig. 12. Iterative process of PSO (H_0 = 15×10⁻⁵ m); a) position of each individual, and b) supporting power of each individual

In are individuals. From Fig. 7, the algorithm needs 7 loops to meet the error tolerance when the number of particle swarms is chosen as 5. Individuals get close to each other rapidly from distinct positions and converge to $x_n = 0.5263$ where $P_s = 30.39$. Figs. 11 and 12 show the iteration process of PSO when H_0 is set to 10×10^{-5} m and 15×10^{-5} m, respectively.

The algorithm needs 7 loops to meet the error tolerance. When $H_0 = 10 \times 10^{-5}$ m, all particles converge to $x_n = 0.5467$ where $P_s = 242.9$. When $H_0 = 15 \times 10^{-5}$ m, all particles converge to $x_n = 0.5428$ where $P_s = 819.7$. The change of H_0 value influences the final result x_n by no more than 3.9 %. However, varying H_0 has a strong effect on P_s , meaning a thicker film thickness needs a higher supporting power. Taking 14 different samples of H_0 , the relationship between film thickness, pump power consumption, and optimal pocket size is shown in Fig. 13.



Fig. 13. Relationship between film thickness, supporting power and oil pocket scale

The supporting power consumption raises with the film thickness increase. The optimal pocket scale changes no more than 7 % under different film thickness, a percentage that could be considered as a computational error. The average optimal pocket size of 14 samples is determined as $\bar{R}_1 = 0.5431$.

3 CONCLUSIONS

In this work, the load-carrying performance of a hydrostatic turntable is analysed using an FDM solution of the Reynolds equation. The relationship between oil pocket scale and supporting power consumption is determined numerically. The reliability of the algorithm is verified by conducting experiments on a miniature testing table. PSO is carried out to solve the optimal pocket size for minimal pump power. An efficient and practical optimization method for hydrostatic turntable design is presented, and the following conclusions can be drawn:

- 1. The oil pocket scale strongly influences the supporting power consumption. A properly designed oil pocket reduces the supporting power and improves the service performance of the hydrostatic turntable.
- 2. When the film thickness is set as a constant (to a safety value), the optimal pocket size of $\overline{R}_1 = 0.5431$ is calculated. With increases in film thickness, the supporting power consumption grows, but optimal pocket size remains nearly the same. The optimal oil pocket scale varies no more than 7 % under different film thicknesses.
- The design tolerances of the oil pad are at a millimetre scale, and the FDM analysis processes are always very time-consuming. Therefore, the PSO is a suitable optimization method to speed up calculations and overcome such issues.

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5 NOMENCLATURES

$A1_{i,j}, A2$	<i>i,j</i> , coefficient matrix, [-]
g	acceleration of gravity, $[m \cdot s^{-2}]$
$Gbest_n$	position of the global best fitness of all
	individuals, [-]
Н	film thickness, [m]
H_0	preset film thickness, [m]
\overline{h}	dimensionless film thickness, [-]
i	numerical counts of the elements on r
	coordinate
j	numerical counts of the elements on θ
	coordinate, [-]
k	loop iteration count, [-]
N	total number of oil pads, [-]
т	carrying load, [kg]
р	pressure, [Pa]
P_0	pressure in the oil pocket, [Pa]
P_S	supporting power, [W]
\overline{p}	dimensionless pressure, [-]
$Pbest_n$	position of the privative best fitness
	of the <i>n</i> th individual, [-]
R_0	radius of oil pad, [m]
R_1	radius of oil pocket, [m]
\overline{r}_{step}	step length for the <i>r</i> coordinate, [-]
Q^{+}	volumetric flow rate, [m ³ ·s ⁻¹]
\overline{q}	dimensionless flow rate, [-]
U_r	radial velocity, [m·s ⁻¹]
U_{θ}	circumferential velocity, [m·s-1]
\overline{U}_r	dimensionless radial velocity, [-]
\overline{U}_{a}	dimensionless radial velocity, [-]
V _n	velocity of the <i>n</i> th individual, [-]
x_n	position of the <i>n</i> th individual, [-]
Ŵ	load-carrying capacity, [N]
W_i	load-carrying capacity of <i>i</i> th oil pad, [N]
\overline{W}	dimensionless carrying capacity, [-]
η	viscosity, [Pa·s]
$\overline{\overline{\theta}}_{step}$	step length for the θ coordinate
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